



# Contracts for Excellence Proposed Plan FY 13



**Department of  
Education**

*Dennis M. Walcott, Chancellor*

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# Contracts for Excellence Overview

The New York City Department of Education receives a portion of its overall budget in the form of Foundation Aid from New York State. While the State allows some of the increase in year-over-year Foundation Aid funding to be used for growth in general operating costs and investment in ongoing programs, the majority is subject to the provisions of the State's "Contracts for Excellence." New York City schools received Contracts for Excellence, or C4E, funds for the first time in the 2007-08 school year. These are funds that, under State law, must be distributed to certain schools and must be spent by those schools in specific program areas.

# Contracts for Excellence Overview

## Funds must support specific program initiatives:

- *Class Size Reduction*
- *Time on Task*
- *Teacher & Principal Quality Initiatives*
- *Middle & High School Restructuring*
- *Full-Day Pre-Kindergarten Programs*
- *Model Programs for English Language Learners*

## Funds must go to students with the greatest educational need:

- *English Language Learners*
- *Students in Poverty*
- *Students with Disabilities*
- *Students with Low Academic Achievement or At Risk of Not Graduating*

The FY13 C4E allocations described here are preliminary. As per FY13 C4E Regulations, New York City's C4E plan is subject to a public engagement process and approval by the State Education Department.

# Contracts for Excellence 2012-2013

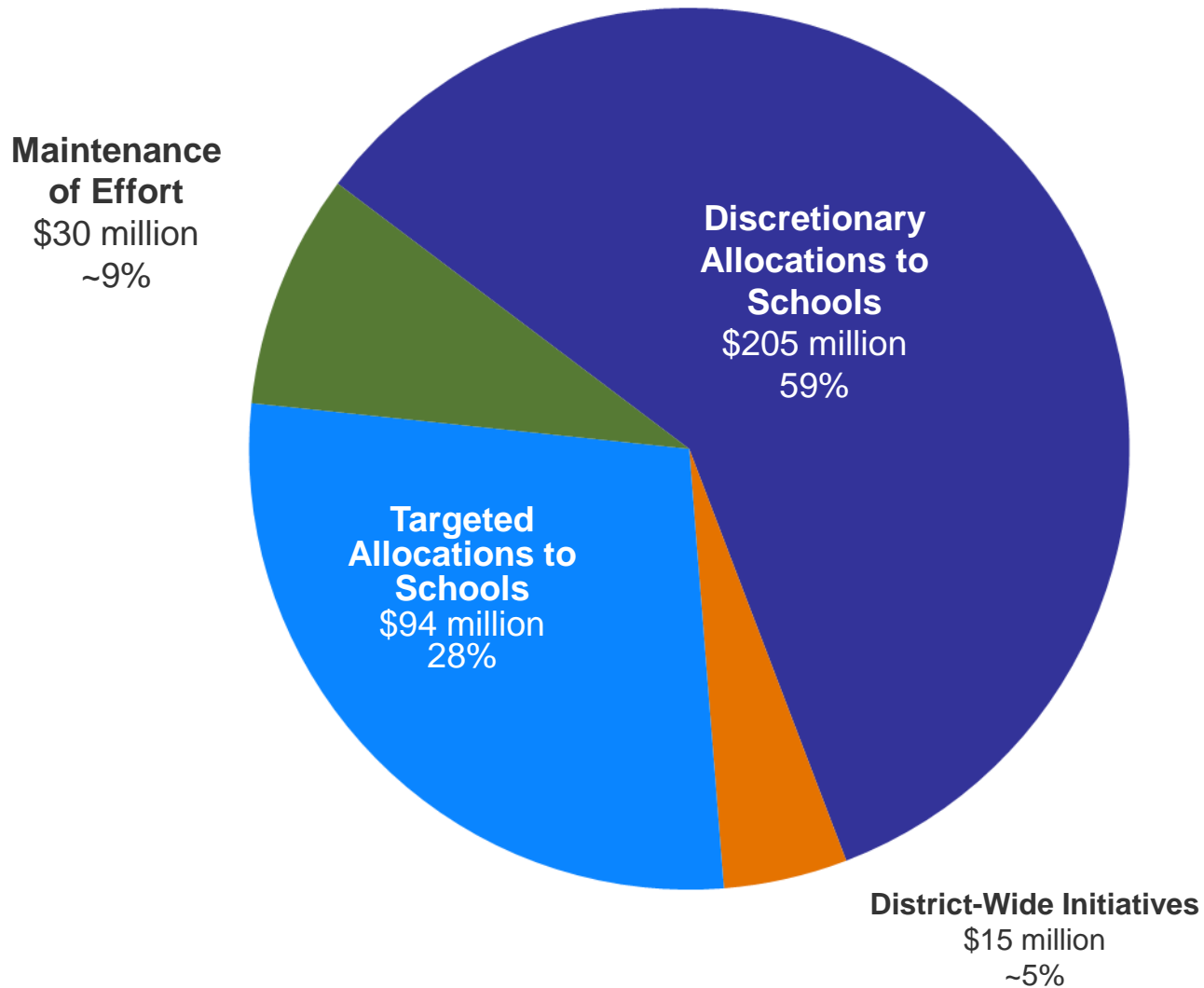
The Governor's 2012-13 Budget states that “school districts that submitted a contract for excellence for the two thousand eleven-two thousand twelve school year, unless all schools in the district are identified as in good standing, shall submit a contract for excellence for the two thousand twelve--two thousand thirteen school year”. Funds are to be used to support C4E allowable programs, as approved by the Commissioner.

For the current year, FY13, there are no new Contract for Excellence funds to apply towards new or expanded programs. As a result, NYCDOE is in “maintenance of effort” status, meaning that C4E funds will be used to maintain programs that were approved in prior years.

Please note, as indicated in the FY12 SAM, NYSED allowed NYCDOE to take a portion of the 17.53% reduction from year 1 Maintenance of Effort funds. This leaves \$348 million for school allocations and district-wide programs in FY13 and \$182 million of year 1 MoE embedded in Fair Student Funding for a FY13 total C4E amount of \$530 million. This presentation represents the \$348 million.

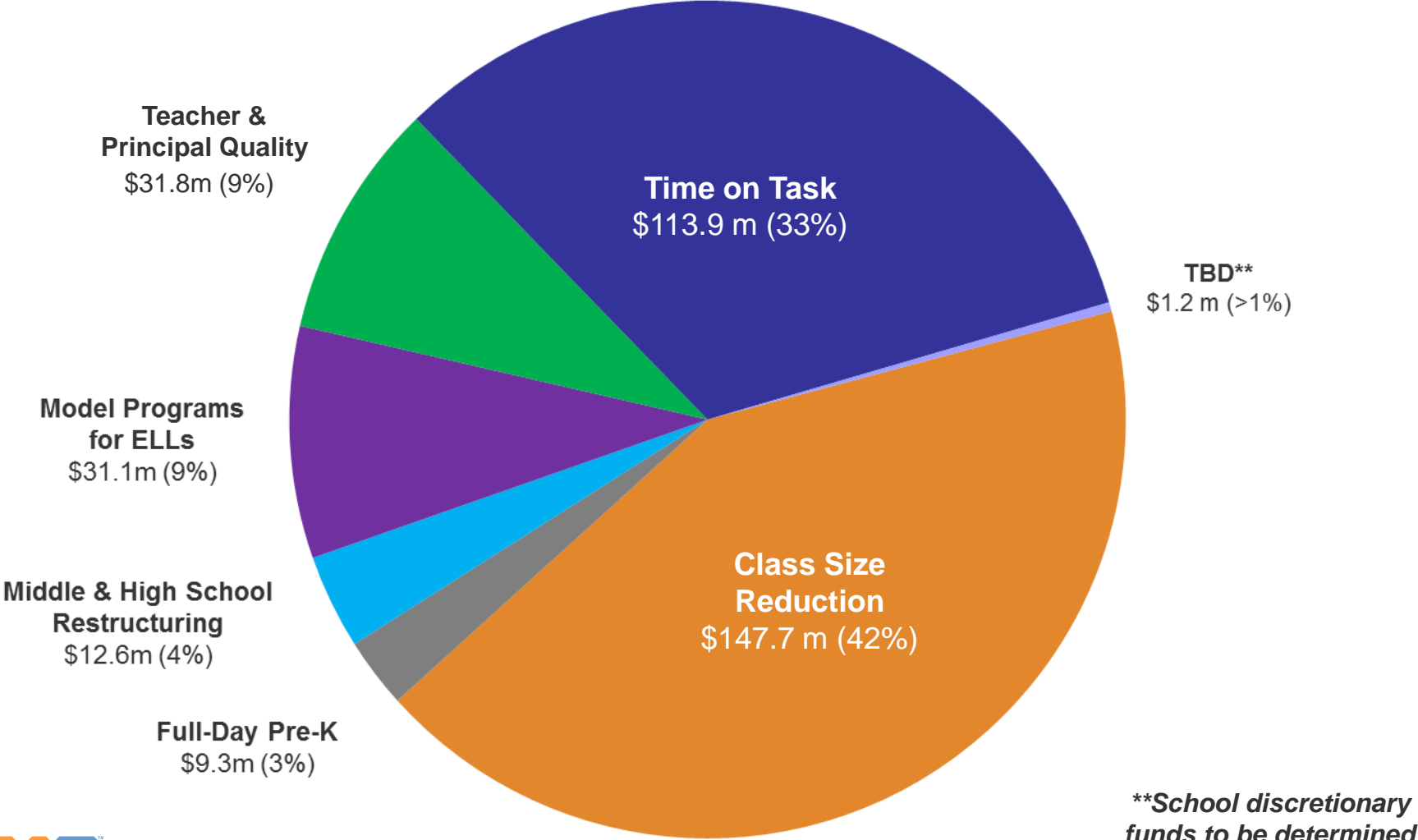
# NYC's 2012-13 Citywide C4E Plan: By Allocation Type

## Allocation of Funds



# 2012-13 Citywide C4E Plan: All Funds By Program Area

By Program Area\*

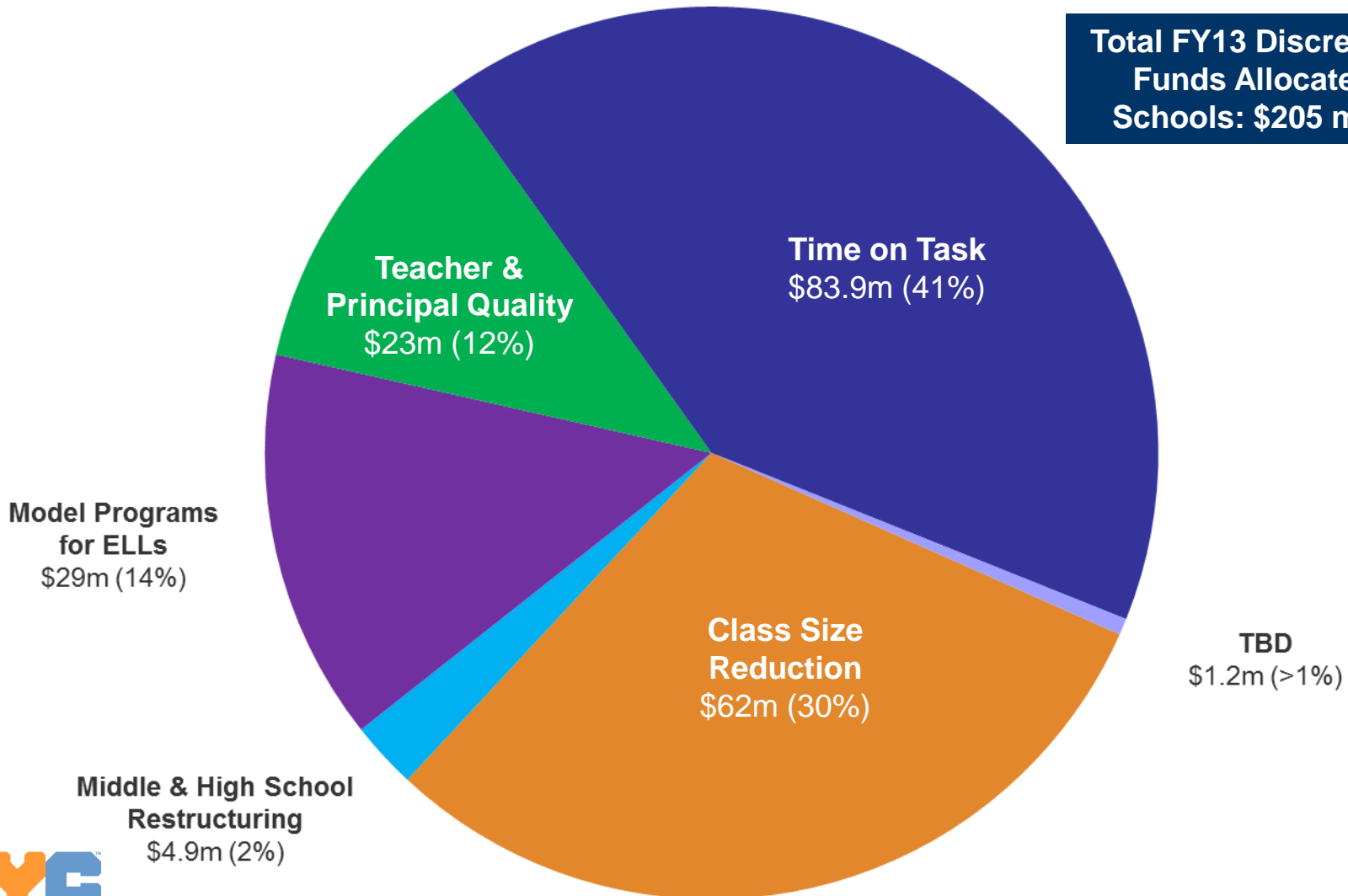


**\*\*School discretionary funds to be determined**

# All Proposed Discretionary Spending: By Program Area

By Program Area\*

**Total FY13 Discretionary  
Funds Allocated to  
Schools: \$205 million**



## Discretionary Allocations to Schools

Amount	<p><b>\$205.6 million</b> in restricted Contracts for Excellence funds were released to 1,400+ schools in June 2012.</p> <p><b>59%</b> of total Contract amount</p>
Description	<p>Schools should use Contracts for Excellence funds to establish continuity of service for existing C4E programs.</p> <p>However, if a school cannot maintain effort due to significant changes in its student population or its overall instructional strategy, it could choose to reallocate funds to a different allowable program area.</p>



## Targeted Allocations to Schools

Amount	<p>About <b>\$97 million</b> of C4E funds were targeted for specific programs. Schools receiving allocations were chosen based on a) overall student need and b) capacity to carry out the specific programs.</p> <p><b>28% of total Contract amount</b></p>
Description	<p>Funds allocated by the DOE directly to schools for specific uses that are eligible within the C4E programs areas:</p> <ul style="list-style-type: none"><li>▪ <i>\$81 million – Integrated Co – Teaching Classrooms (ICT)</i> <i>(Formerly Collaborative Team Teaching (CTT))</i></li><li>▪ <i>\$9.3 million – Full-Day Pre-K</i></li><li>▪ <i>\$4.7 million – Autism Spectrum Disorder (ASD) Classrooms</i></li><li>▪ <i>\$1.9 million – ELL Summer School</i></li></ul> <p>Schools that received “targeted” C4E allocations in 2009-10 received those allocations again in 2012-13 as long as they retained the population necessary to maintain effort.</p> <p>For example, if a school was funded to support 10 new CTT students in 2010-11 and has a net loss of 8 CTT students in 2011-12, that school will receive a lower FY13 C4E CTT allocation than it received in FY12.</p>

## District-Wide Initiatives

Amount	<p><b>\$15 million</b> is our estimate in this category. While these funds will not appear on schools' budgets, the Department is required to attribute dollars to programs that support the neediest students as part of the DOE's citywide C4E plan.</p> <p><i>5% of total Contract amount</i></p>
Description	<p>Funds allocated to Central programs that directly benefit high-need schools.</p> <ul style="list-style-type: none"> <li>▪ <i>\$6.7 million – Multiple Pathways to Graduation Initiatives (for over-age and under-credit students)</i></li> <li>▪ <i>\$8 million – Principal Training Initiatives</i></li> <li>▪ <i>\$1 million – College and AP Prep for High Need 9-12 Students</i></li> <li>▪ <i>\$75,500 – ELL Youth Institute</i></li> </ul>

## Maintenance of Effort

Amount	<p><b>\$30 million</b></p> <p><i>8% of total Contract amount</i></p>
Description	<p>The Department proposes to spend these funds to maintain summer programs impacting the students with the lowest academic achievement in the city.</p>

## Proposed Discretionary Spending Citywide \*

Schools in this district were allocated discretionary Contracts for Excellence funds. Schools have proposed to spend those funds as follows:

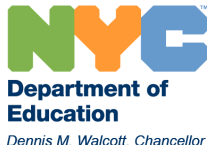
C4E Program Area	Amount Budgeted	% Total
Class Size Reduction	\$62,029,566	30%
Middle School & High School Restructuring	\$4,995,636	2%
Model Programs for ELLs	\$29,077,537	14%
Teacher & Principal Quality Initiatives	\$23,754,857	12%
Time on Task	\$83,936,590	41%
<b>Grand Total</b>	<b>\$203,794,186</b>	<b>100%</b>

Comprehensive information about these proposed allocations – including school-level program strategies and performance targets - are available online at:

<http://schools.nyc.gov/AboutUs/funding/c4e/default.htm>

# 12-13 C4E Plan: All Funds By Program Strategy\*

	Citywide Total	
Class Size Reduction	Maintain Class Size	\$8M
	Maintain PTR	\$3.2M
	Minimize Class Size Growth	\$1.2M
	Reduced Class Size	\$42.5M
	Reduced PTR	\$8.3M
	Team Teaching Strategies	\$47.1M
	<b>Total</b>	<b>\$110M</b>
Time on Task	Before & After School	\$3.3M
	Dedicated Instruction	\$78M
	Individualized Tutoring	\$2.1M
	Summer School	\$30M
	<b>Total</b>	<b>\$114M</b>
Teacher and Principal Quality Initiatives	Leadership Coaches	\$2.7M
	Mentoring for New Staff	\$2.1
	Recruit & Retain HQT	\$1.7
	Teacher Coaches	\$17M
	<b>Total</b>	<b>\$23.8M</b>



\*\* Targeted allocations (CTT and ASD Classrooms) + school-level discretionary allocations

\*All proposed allocations described in this plan are preliminary and contingent on further analysis of school-based conditions.

# 12-13 C4E Plan: All Funds By Program Strategy\*

	Citywide Total	
Middle & High School Restructuring	MSHS Instructional Changes	\$2.7M
	MSHS Structural Changes	\$2.3M
	<b>Total</b>	<b>\$5M</b>
Full-Day Pre-K	<b>Total</b>	<b>\$9.3 M</b>
Model Programs for ELLs	ELL Innovative Programs	\$29M
	ELL Parent Involvement	\$106K
	ELL Teacher Recruitment	\$154K
	<b>Total</b>	<b>\$29.3M</b>

*(\$1.1 million in discretionary funds still to be determined by schools)*

# Special Note: Class Size Reduction Planning

Due to major fiscal changes and challenges since the induction of C4E, NYCDOE and SED are having ongoing communication about NYCDOE's class size requirements

In light of the current global and local financial constraints, it was necessary to re-evaluate the original 5 year Class Size Reduction Plan that was first introduced in 2007. That plan was predicated on an increase in Contract for Excellence funds year over year which has not been realized.

With the guidance and approval of the State Education Department, the NYCDOE has remained committed to monitoring class size through a cross section of schools that represent high class sizes and low performance.

# Public Comment

We will take public feedback into account in the coming month as we continue to develop a citywide Contracts for Excellence plan.

The deadline for public comment is **March 18th** (allowing over 30 days from the date that the comprehensive 2012-13 proposed plan was posted to the Department's website).

The public may comment on any aspect of the plan, including:

- How schools are planning to spend their discretionary funds within the six allowable program areas
- How the DOE is allocating targeted Contract funds to schools
- How the DOE is allocating funds for District-Wide Initiatives
- NYC's Class Size Reduction plan
- The public comment process itself

Educators, parents, and all other members of the New York City community with feedback should e-mail us at [ContractsForExcellence@schools.nyc.gov](mailto:ContractsForExcellence@schools.nyc.gov)